POLICY FOR CLIENT CODE MODIFICATION

The facility is mainly to provide a system for modification of client codes in case of genuine errors in a punching / placing the orders. It is to be used as an exception and not a routine. To prevent misuse of the facility Stock Exchanges levy penalty/fine for all non-institutional client code modifications.

In case of NSE (NOTE: no consistent pattern in such modifications):

Client code/name and modified client code/name are similar to each other but such modifications are not repetitive.

In Case of BSE:

- Punching error/typing error of client codes due to any genuine error or mistake in order entry, while punching the order.

- Trade entered for the wrong client due to any miscommunication from the client / authorized representative of the client.

- The facility for Client Code Modification can be used only in the case of Error Trade.

- The Client Code Modification shall be carried out only on the designated system and/or as per the process as may be prescribed by SEBI / Stock Exchange.

Any Client Code Modification shall subject to compliance of this policy, be carried out by RMS at HO of all the Error Trades happened in Capital Market Segment of NSE and BSE.

The penalty or fine, if any, levied on NBT for any wrong trade occurred due to any miscommunication from the client / authorized representative of the client shall be borne by the client.

PROMPT CORPORATE SERVICES LTD.

Shalini Singhania

Director